

Atty. Docket No.: 3524-14
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Andrew Hausman
Application No: 09/584,045
Filing Date: May 30, 2000
For: ELECTRONIC TRADING SYSTEM
FOR ELECTRICITY FORWARDS

Art Unit: 3693
Examiner: Ella Colbert
Confirmation No.: 9896

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(d)

Applicants respectfully submit herewith an Information Disclosure Statement, an Information Disclosure Citation List and a copy of each cited document. The Examiner is requested to consider the referenced documents, make them of record, and indicate her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the Applicants' undersigned Attorney.

No fee is believed to be due for this submission. In the event a fee is required, the Commissioner is hereby authorized to charge any required fee to the undersigned attorney's Deposit Account No. 02-4270.

Respectfully submitted,

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Sheet 1 of 2

Complete if Known	
Application Number	09/584,045
Filing Date	May 30, 2000
First Named Inventor	Andrew Hausman
Art Unit	3693
Examiner Name	Ella Colbert
Attorney Docket Number	3524-14

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

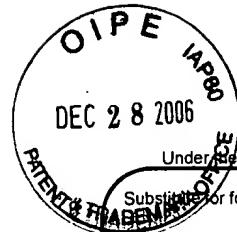
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)				
		WO 97/22072	06-19-1997	Reuters Limited		
		WO 97/45802	12-04-1997	Reuters Transaction Services Limited		
		WO 99/19821	04-22-1999	Derivatives Net, Inc.		

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Sheet

2

of

2

Complete if Known

Application Number	09/584,045
Filing Date	May 30, 2000
First Named Inventor	Andres Hausman
Art Unit	3624
Examiner Name	Ella Colbert
Attorney Docket Number	3524-14

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		8 pages from HoustonStreet.com dated 8/22/99 (http://home.houstonstreet.com/fulltours/sections) 022.htm, /050.htm, /060.htm, /070.htm, /080.htm, 081.htm, /083.htm and /120.htm	
		Dealing 200-2, Definitions and Rule book, Version 6.2 (Reuters Limited, London) 1994	
		Tafex: Transamerica Foreign Exchange, Tafex Systems Corporation (New York)	
		Chicago Merchantile Exchange's Proposed Globex Trading System, Division of Trading and Markets, February 2, 1989	

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